

NOTE: COPY OF PRIOR APPLICATION MUST BE FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

--PATENT--

ATTORNEY DOCKET NO. 0687.020/P  
Anticipated Classification of Application:  
Class \_\_\_\_\_ Subclass \_\_\_\_\_  
Prior Application:  
Examiner: H. Dang  
Art Unit: 2515

HON. COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SIR:

This is a request for filing a

☒ Continuation  
☐ Divisional

application under 37 C.F.R. 1.60, of pending prior application serial no. 08/772,977 filed on December 23, 1996, of David R. WILLIAMS, et al. for METHOD AND APPARATUS FOR IMPROVING VISION AND THE RESOLUTION OF RETINAL IMAGES

1. A copy of papers of prior application as filed are attached as follows:

- ☒ I hereby verify that the attached papers are a true copy of what is shown in my records to be the above identified prior application, including the oath or declaration originally filed (37 C.F.R. 1.60).  
☒ Specification of 16 pages.  
☒ Claims, 9 pages.  
☒ Abstract.  
☒ Drawings 3 sheet(s). (Complete 5 below if drawings are to be transferred.)  
☒ Declaration and power of attorney, pages 2.

If the copy of the declaration being filed does not show applicant's signature, indicate thereon that it was signed and complete the following:

- ☐ In accordance with the indication required by 37 C.F.R. 1.60(b), my records reflect that the original signed declaration showing applicant's signature was filed on \_\_\_\_\_.  
☐ The amendment referred to in the declaration filed to complete the prior application and I hereby state, in accordance with the requirements of 37 C.F.R. 1.60(b), that this amendment did not introduce new matter therein.

2. Amendments:

- ☒ Cancel in this application original claims 2-25 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)  
☒ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claims in the prior application.)

3. The filing fee is calculated below:

FOR	NO. FILED	NO. EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	4 - 20 =	0	x \$22.00 =	\$ -0-
INDEPENDENT CLAIMS	4 - 3 =	1	x \$82.00 =	\$ 82.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM(S)			+ \$270.00 =	\$ -0-
			BASIC FEE	\$ 790.00
			TOTAL OF ABOVE CALCULATIONS =	\$ 872.00
<input type="checkbox"/> REDUCTION BY ½ FOR FILING OF SMALL ENTITY				\$ -0-
			TOTAL OF FILING FEE =	\$ 872.00
<input type="checkbox"/> FEE FOR RECORDING OF ASSIGNMENT				\$
			TOTAL OF FILING AND ASSIGNMENT RECORDING FEES =	\$

- ☒ Check(s) in total mount of \$872.00 is (are) enclosed. If no check is enclosed and a fee is due in connection with this communication, or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due or fees due during pendency of this application to Deposit Account No. 23-2185. **A duplicate copy of this sheet is enclosed.**

4. Small Entity Status:

- ☐ A verified statement that this filing is by a small entity is (was):  
☐ attached  
☐ filed in the parent application and such status is still proper and desired (37 C.F.R. 1.28(a)).

5. Drawings:

**Do not check the following box if prior case is not to be abandoned. Transferred sheets must be cancelled in prior application (37 C.F.R. 1.88).**

- ☐ Transfer the drawings from the prior application to this application and, subject to item 16 below, abandon said prior application as of the filing date accorded this application. **A duplicate of this request is enclosed for filing in the prior application file. (May only be used if signed by (1) applicant, (2) assignee of record, or (3) attorney or agent of record authorized by 37 C.F.R. 1.138 and before payment of issue fee.)**

- ☐ Transfer the following sheet(s) of drawings from the prior application to this application \_\_\_\_\_.

- ☒ New drawings are enclosed:

- ☒ formal.  
☐ informal

6. Priority:

- ☐ Priority of application serial no. \_\_\_\_\_, filed on \_\_\_\_\_, respectively, in (country) \_\_\_\_\_ is claimed under 35 U.S.C. §119.  
☐ The certified copy has been filed in prior U.S. application serial no. \_\_\_\_\_ filed on \_\_\_\_\_.  
☐ The certified copy will follow.

7. Related Back (35 U.S.C. §120).

- ☒ Amend the specification by inserting before the first line the sentence:

This is a

- ☒ continuation  
☐ divisional

of co-pending application serial no. 08/772,977 filed on December 23, 1996.

8. Inventorship Statement:

**If the continuation or divisional application is filed by less than all the inventors named in the prior application a statement must accompany the application when filed requesting deletion of the names of the person or persons who are not inventors of the invention being claimed in the continuation or divisional application (37 C.F.R. 1.60(b)).**

- (a) With respect to the prior co-pending U.S. application from which this application claims benefit under 35 U.S.C. §120 the inventor(s) in this application is (are):

- ☒ the same.  
☐ less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted: \_\_\_\_\_.

- (b) The inventorship for the claims in this application is:

- ☒ the same.  
☐ not the same, and an explanation, including the ownership of the various claims at the time the last claimed invention was made, is submitted.

9. Assignment:

- ☒ The prior application is assigned of record to University of Rochester.  
☐ An assignment of the invention to \_\_\_\_\_ is attached.

Complete the following, if applicable.

Name of Assignee University of Rochester  
Address of Assignee 518 Hylan Building, Rochester, New York 14627  
Title of person authorized to sign on behalf of assignee Director, Office of Technology Transfer  
Assignment recorded in PTO on December 23, 1996 Reel 8360 Frame 0762.

10. Power of Attorney:

- ☒ The power of attorney in the prior application is to (attorney) Michael C. Greenbaum, Esq.  
Registration No. 28,419.  
☒ The power appears in the original papers in the prior application.  
☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.  
☐ A new power has been executed and is attached.  
☒ Address all future communications to Michael C. Greenbaum, Esq.

11. Maintenance of Co-pendency of Prior Application:

**This item must be completed and the papers filed if the period set in the prior application has run.**

- ☐ A petition, fee and response has been filed to extend the term in the pending prior application until \_\_\_\_\_.

12. Conditional Petition for Extension of Time in Parent Application:

**This item must be completed if previous item not applicable.**

- ☐ A conditional petition for extension of time is being filed in the pending parent application.

13. Abandonment of Prior Application (if applicable):

**Do not complete this item if the application being filed is a divisional of the prior application which is not being abandoned.**

- ☐ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time in that application is granted and when this application is granted a filing date so as to make this application co-pending with said prior application.

I hereby declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

By: \_\_\_\_\_

Name: Michael C. Greenbaum  
Reg. No. 28,419

Date: May 4, 1998

BLANK ROME COMISKY & McCAULEY LLP  
WIGMAN, COHEN, LEITNER & MYERS IP GROUP  
900 17th Street, N.W., Suite 1000  
Washington, D.C. 20006  
(202) 463-7700

- ☐ Inventor  
☐ Assignee of complete interest  
☐ Person authorized to sign on behalf of assignee  
☒ Attorney or agent of record  
☐ Filed under Rule 34(a)

10194

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
David R. Williams et al. ) Attn: NEW APP  
Serial No. Not yet assigned ) Examiner: Not yet assigned  
Filed: Herewith ) Atty. Dkt. No.: 0687.020/P  
For: METHOD AND APPARATUS FOR ) MCG:hm  
IMPROVING VISION AND THE )  
RESOLUTION OF RETINAL )  
IMAGES ) May 4, 1998

PRELIMINARY AMENDMENT

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Prior to taking up the above-captioned application for examination, please enter the following amendment.

In the Specification:

Page 1, after the title of the invention, insert the following:

--This invention was made with government support through Grant EY04367 awarded by the National Eye Institute. The government has certain rights in the invention.--

In the Claims:

Please cancel claim 1 and add the following new claims:

--26. Apparatus for use in performing laser refractive surgery on the living eye to correct for at least third order aberrations of the living eye, comprising;  
means for generating a reflected point source image of said retina of said living eye;

means for receiving said reflected point source image and for converting said point source image to corresponding digital signals;

a digital data processor for calculating wave aberrations of the eye so as to include at least third order modes, using said digital signals; and

means connected to receive the calculated wave aberrations from said digital data processor for performing surgery of said living eye.

27. A method for performing surgery to correct for at least the third order wave aberrations of the living eye, comprising the steps of:

generating a reflected point source image of the retina of said living eye;

receiving said reflected point source image and converting said point source image to corresponding digital signals;

calculating wave aberrations of said eye so as to include at least third order modes, using said digital signals; and

receiving the calculated wave aberrations for use in performing surgery to provide wavefront compensation for said at least third order wave aberrations of said living eye.

28. Apparatus for use in performing surgery on the retina of the living eye, said apparatus comprising:

a plurality of lenslets which form a lenslet array for receiving a reflected point source image of said retina and for creating an aerial image of the retinal point source;

a camera located adjacent to said lenslet array for viewing said aerial image of the retinal point source formed on each of said plurality of lenslets of said lenslet array;

a digital data processor connected to receive video output signals from said camera and for converting said video output signals to digital signals representative of said retinal point source aerial images, said digital data processor further calculating wave aberrations of said eye, using said representative digital signals; and

surgical equipment connected to receive said calculated wave aberrations from said digital data processor for use in performing surgery on the retina of said living eye.

29. A method for performing surgery on the retina of the living eye, comprising the steps of:

forming a lenslet array for receiving a reflected point source image of said retina and for creating an aerial image of the retinal point source;

viewing said aerial image of the retinal point source formed on said lenslet array using a camera which produces video output signals;

receiving said video output signals from said camera and converting said video output signals to digital signals representative of said retinal point source aerial images;

calculating wave aberrations of said eye, using said representative digital signals; and

using said calculated wave aberrations of said eye in performing surgery on the retina of said living eye.--

#### Remarks

By the instant amendment, four new independent claims have been added and the originally filed independent claim 1 has been canceled. Thus, four claims are contained in this application for consideration.

In the event that there are any questions relating to this amendment or to the application in general, it would be appreciated if the examiner would telephone the undersigned attorney concerning such questions.

Respectfully submitted,

DAVID R. WILLIAMS, et al.

By: 

Michael C. Greenbaum  
Registration No. 28,419

Customer No.: 002779  
BLANK ROME COMISKY & McCAULEY LLP  
*WIGMAN, COHEN, LEITNER & MYERS IP GROUP*  
900 - 17th Street, N.W., Suite 1000  
Washington, D.C. 20006  
(202) 463-7700 (phone)/(202) 463-6915 (facsimile)

APPLICATION FOR UNITED STATES LETTERS PATENT

TITLE: METHOD AND APPARATUS FOR IMPROVING VISION AND THE  
RESOLUTION OF RETINAL IMAGES

INVENTORS: DAVID R. WILLIAMS and JUNZHONG LIANG

ASSIGNEE: UNIVERSITY OF ROCHESTER

WIGMAN, COHEN, LEITNER & MYERS, P.C.  
The Farragut Building  
Suite 1000 - 10th Floor  
900 17th Street, N.W.  
Washington, D.C. 20006  
(202) 463-7700

Docket No. 0687.016/P



# **METHOD AND APPARATUS FOR IMPROVING VISION AND THE RESOLUTION OF RETINAL IMAGES**

## **BACKGROUND OF THE INVENTION**

The present invention is directed to a method of and an apparatus for improving vision and the resolution of retinal images. More particularly, the present invention is directed to a method of and an apparatus for measuring and correcting the wave aberration of the eye such that the measured data can be used to develop corrective optical elements for improving the optical quality of the eye.

Despite significant advances in spectacle and contact lens design, current ophthalmic lenses still can only correct defocus and astigmatism. Spectacles and contact lenses leave uncorrected additional aberrations such as spherical aberration, coma, and a host of irregular aberrations. These high order aberrations of the eye not only blur images formed on the retina, which impairs vision, but also blur images taken of the living human retina. There have been two obstacles that prevent the use of specially-designed optical elements to correct aberrations beyond defocus and astigmatism in the eye. First, quantitative measurement of the irregular aberrations of the eye has not been possible. Second, a mechanism to correct the monochromatic aberrations of the eye other than defocus and astigmatism has not been demonstrated.

Subjective refractive methods of optometrists and objective autorefractors measure defocus and astigmatism only. They cannot measure the complete wave aberration of the eye, which includes all aberrations left uncorrected by conventional spectacles. The objective aberroscope disclosed by Walsh et al. in the *Journal of the Optical Society of America A*, Vol. 1, pp. 987-992 (1984) provides simultaneous wave aberration measurements of the entire pupil but cannot sample the pupil with a spacing finer than about 0.9 mm (See Charman in

*Optometry and Vision Science*, Vol. 68, pp. 574-583 (1991)). Moreover, rapid, automated computation of the wave aberration has not been demonstrated with this method.

Recently, one of the co-inventors herein, together with others, developed an apparatus to measure the wave aberration of the eye. In a report entitled "Objective measurement of wave aberrations of the human eye with the use of a Hartmann-Shack wave-front sensor", Liang et al., *J. Opt. Soc. Am. A.*, volume 11, number 7, pp. 1-9, July 1994, the disclosure of which is incorporated by reference herein, the authors disclosed a Hartmann-Shack wavefront sensor that they used to measure the wave aberrations of the human eye by sensing the wavefront emerging from the eye produced by the retinal reflection of a focused light beam on the fovea. Using the system disclosed therein, the authors were able to measure only up to fourth order polynomial functions. The wavefront fitting with polynomials up to fourth order does not provide a complete description of the eye's aberrations. That description is generally insufficient to accurately compute the optical performance of the eye. This instrument was not equipped to remove unwanted light reflected from other surfaces, such as lenses and the cornea of the eye.

There has also been a previous attempt to correct the monochromatic aberrations of the eye beyond defocus and astigmatism, with the goal of improving the axial resolution of the confocal scanning laser ophthalmoscope. Bartsch et al., in *Vision Science and its Applications*, 1994, Technical Digest Series, Vol. 2 (Optical Society of America, Washington, D.C.) pp. 134- 137 (1994) used a fundus contact lens to null the refraction at the first surface of the cornea. That approach, however, suffers from the fundamental problem that the wave aberration of the eye depends on the combined effects of refractive index variations throughout the eye's optics. Possibly for that reason, an attempt to use a fundus

contact lens to increase the axial resolution of a confocal scanning laser ophthalmoscope showed only modest improvement.

Another approach is to use a deformable mirror, a device that has successfully compensated for atmospheric turbulence in ground-based telescopes. A deformable mirror was previously proposed for use in a confocal laser scanning ophthalmoscope in conjunction with the human eye in U.S. Pat. No. 4,838,679 to Bille, but no method to measure the wave aberration of the eye was proposed or disclosed. Dreher, Bille, and Weinreb, in *Applied Optics*, Vol. 28, pp. 804-808 demonstrated the only usage of a deformable mirror for the eye, but only corrected the astigmatism of the eye, which is no better than the correction provided by conventional ophthalmic lenses. The use of an optical element to correct monochromatic aberrations higher than second order has never been achieved. In both those systems, no appropriate method for measuring the eye's high order aberrations was disclosed. Bille et al., in *Noninvasive Diagnostic Techniques in Ophthalmology*, edited by Masters, B. R., Springer-Verlag, pp. 528-547 (1990) proposed the use of a wavefront sensor in conjunction with a deformable mirror, but a working system was never disclosed or realized.

## **SUMMARY AND OBJECTS OF THE INVENTION**

In view of the foregoing, it is apparent that there exists a need in the art for a method of and an apparatus for producing ophthalmic optical elements that provide improved or supernormal vision over that which is currently available, as well as high resolution retinal images. It is, therefore, a primary object of the present invention to provide a method of and an apparatus for accurately measuring higher order aberrations of the eye and for using the data thus measured to compensate for those aberrations with a customized optical element.

It is also an object of the present invention to provide an improved wavefront sensor which rejects light reflected from structures other than the retina and which is capable of providing a complete measurement of the eye's aberrations.

It is a further object of the present invention to utilize such an improved wavefront sensor in combination with a deformable mirror to correct the wave aberration in a feedback manner such that the subject achieves normal or supernormal vision.

It is likewise a primary object of the present invention to provide a method of and an apparatus for producing high resolution retinal images which allow the imaging of microscopic structures the size of single cells in a human retina.

Briefly described, these and other objects of the invention are accomplished by providing a system for receiving light reflected from a retina of an eye. The wavefront in the plane of the pupil is recreated in the plane of a lenslet array of a Hartmann-Shack wavefront sensor. Each of the lenslets in the lenslet array is used to form an aerial image of the retinal point source on a CCD camera located adjacent to the lenslet array. The wave aberration of the eye, in the form of a point source produced on the retina by a laser beam, displaces each spot by an amount proportional to the local slope of the wavefront at each of the lenslets. The

output from the digital CCD camera is sent to a computer which then calculates the wave aberration and provides a signal to a deformable mirror. Following an iterative procedure, the deformable mirror ultimately acquires a shape that is identical to the wave aberration measured at the outset, but with half the amplitude. This deformation is the appropriate one to flatten the distorted wavefront into a plane wave, which improves image quality.

In its method aspects, the system of the present invention, using the computer, first acquires the CCD image, as described above. Then, the computer computes the centroid of the spot formed by each of the lenslets of the wavefront sensor. Shifts in each focus spot in the  $x$  and  $y$  directions are calculated and then used as the slope data to fit with the sum of the first derivatives of 65 Zernike polynomials, using a least squares procedure, to determine the weight for each polynomial.

Then, the Zernike polynomials are weighted with the calculated coefficients. The 65 polynomials in the wavefront fit include all Zernike modes with radial power less than or equal to 10, except for the piston term.

The weighted Zernike polynomials are then added together, to result in the reconstructed wave aberration. The wave aberration is then evaluated at the locations of the actuators of a deformable mirror in order to produce the correction signal which is sent by the computer to the wavefront compensation device or deformable mirror, as discussed above. Such a feedback loop continues to receive the reconstructed wave aberration results, feeding back an appropriate correction signal, until the RMS of the reconstructed wave aberration signal reaches an asymptotic value, at which point, the deformable mirror has been deformed such that it will compensate for all the detected aberrations of the eye.

When the reconstructed wave aberration signal reaches its asymptotic value, the final aberration signal (including all of the previously generated signals up until the RMS error reaches the asymptotic value) can be used to produce contact lenses to correct for all of the monochromatic aberrations of the human eye or for surgical procedures.

The present invention can also be used to provide high resolution images of the retina. The system for producing such images uses a krypton flash lamp which is designed to illuminate a retinal disk to provide an image of the retina which is reflected by the deformable mirror onto a lens and through an aperture such that the reflected image of the retina is focused onto a second CCD camera. The signals generated by the camera are acquired in a manner similar to that described above in connection with the first CCD camera and are stored for later use in the computer.

With these and other objects, advantages, and features of the invention that may become hereinafter apparent, the nature of the invention may be more clearly understood by reference to the following detailed description of the invention, the appended claims and to the drawings attached herein.

## **BRIEF DESCRIPTION OF THE DRAWINGS**

Fig. 1 is a schematic diagram of the system of the present invention;

Fig. 2 is a diagram of a flow chart of a method for the present invention for use in fabricating contact lenses or providing retinal images using the apparatus shown in Fig. 1;

Figs. 3a-3d show the wave aberration and the corresponding point spread functions before and after adaptive compensation using the system of the present invention, respectively; and.

Fig. 4 shows the Zernike decomposition of the wave aberration of the eye before and after adaptive compensation, showing that the invention can correct not only second order aberrations (defocus and astigmatism) but also high-order aberrations.

## **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT**

Referring now to Fig. 1, there is shown, in schematic diagram form, the apparatus of the present invention which can be used to both improve visual performance and to provide high resolution retinal images of eyes. The apparatus of the present invention, as shown in Fig. 1, measures the aberrations of the eye using a Hartmann-Shack wavefront sensor and then corrects them in a closed feedback loop with a compensating optical component such as a deformable mirror.

To measure the eye's wave aberration, a point source is produced on the retina by a laser 102. The light from the laser 102, is controlled by a shutter (not shown). The laser light passes through a spatial filter 104 and is collimated by an achromatic doublet lens 106. The collimated laser beam is reflected by the polarizing beamsplitter 110, passes through the lenses 112 and 116, and is incident onto a deformable mirror 118. The laser beam reflected from the deformable mirror 118 is focused by the lens 120, passes through the lens 122 and the second beamsplitter 124 and reaches the eye 100 at a diameter of about 1.5 mm at the pupil. The lens of the eye 100 focuses the laser beam on its retina 101. Possible myopia or hyperopia of the tested eye is corrected by movement of the eye 100 and the lens 122 with respect to the lens 120.

The light reflected from the retina 101 forms a distorted wavefront at the pupil, which is recreated in the plane of the deformable mirror 118 by the lenses 122 and 120, and also in the plane of the lenslet array of a Hartmann-Shack wavefront sensor 148 by the lenses 116 and 112. The pupil is conjugate with a two-dimensional array of lenslets 148. Each of the lenslets in the array 148 forms an aerial image of the retinal point source on the CCD camera



146. The CCD camera 146 sends the digitized image to the computer 150 where it is stored in RAM or on magnetic or other storage media.

Aberrations displace each spot by an amount proportional to the local slope of the wavefront at each lenslet. The slope of the wavefront can be determined from the displacement of these spots relative to a known aberration-free reference. The wavefront sensor 154 measures the local slopes in both the x and y directions at 217 locations simultaneously across the dilated pupil. From the array of slopes, the wave aberration is reconstructed as described later herein.

The beamsplitter 110 which forms part of the wavefront sensor 154 is preferably configured as a polarizing beamsplitter in order to remove unwanted light reflected from the first surface of the cornea of the eye 100 and other optical elements, such as lenses 112, 116, 120, and 122. Such light would otherwise interfere with the operation of the wavefront sensor 154 and would make automatic procedures for measuring the wave aberration more difficult.

The wavefront sensor 154 of the present invention provides a much more complete measurement of the aberrations of the eye than has been possible before in part because a large number of samples in the pupil of the eye are used. Using the apparatus of the present invention, it has been found that the eye 100 contains complex aberrations that are not corrected by spectacles or contact lenses, and that such aberrations are significant when the pupil is large. Even aberrations of order 5 and greater, which the present wavefront sensor 154 is the first to measure, has significant impact on the eye's optical quality. Moreover, the higher order aberrations are stable over time. Such stability is important because it shows

that the aberrations can be corrected using a static optical corrector, such as a custom-made contact lens.

Once the wave aberration is measured, as described above, it is compensated with a device conjugate with the pupil of the eye 100 that can control the phase of the light at different points in the pupil. As will be further described in detail in connection with Fig. 2, the computer 150, under software control, produces a correction signal which is fed back to the deformable mirror 118. The deformable mirror 118, which is available as model no. RX-170407-C from Xinetics, Inc., is conjugate with the pupil of the eye 100 and will be deformed to compensate for the wave aberration of the eye. The deformable mirror 118 contains an aluminized glass faceplate with 37 actuators mounted in a square array on the back surface. Alternatively, a liquid crystal device, a micro-machined mirror, a bimorph mirror, or other suitable device could be used in place of the deformable mirror 118.

The apparatus of Fig. 1 is used as follows. The computer 150 starts the measurement process by opening the pathway of the laser beam from the laser 102. The light returning from the retina 101 is used to measure the wave aberration. The Hartmann-Shack wavefront sensor 148 provides a measurement of the eye's wave aberration, from which the deformable mirror 118 is driven to correct it. The process is repeated with the computer 150 generating suitable signals to cause the deformable mirror 118 to continue deforming until the RMS error in the measured wave aberration reaches an asymptotic value, although other criteria might be used. At that point, the deformable mirror 118 has taken the appropriate shape to provide wavefront compensation for the aberrations of the eye.

Also at that point, the final correction signal generated by the computer 150 can be provided to a contact lens fabrication system 152 used to fabricate contact lenses which

would duplicate the wavefront compensation characteristics of the deformable mirror 118.

As would be obvious to those of ordinary skill in the art, such contact lenses would be custom ground for each eye for which compensation is to be provided.

Turning now to Fig. 2, there is shown in diagrammatic flow chart form the steps performed by the software resident in the computer 150 for utilizing the data obtained from the CCD device 146. At Step 1, the digital image is acquired by the computer 150 from the CCD camera. Each image consists of 512 pixels by 512 pixels at 12 bits. Then, at Step 2, the computer 150 computes the centroid of the spot formed by each lenslet of the wavefront sensor. The centroid of each spot specifies its position. By comparing the positions of corresponding spots in a reference pattern and in the pattern obtained from the eye, the shifts in each focus spot in both the x and y directions can be calculated.

Next, at Step 3, the slope data are fit with the sum of the first derivatives of 65 Zernike polynomials, using a least squares procedure to determine the weight for each polynomial, similar to that discussed by Liang et al. in their report referenced above, though their method included no polynomials beyond fourth order.

Then, at Step 4, the Zernike polynomials are weighted with the coefficients calculated at Step 3. The 65 polynomials in the wavefront fit include all Zernike modes with radial power less than or equal to 10, except for the piston term. The first order Zernike modes are the linear terms. The second order modes are the quadratic terms, which correspond to the familiar aberrations, defocus, and astigmatism. The third order modes are the cubic terms, which correspond to the coma and coma-like aberrations. The fourth order modes contain spherical aberrations as well as other modes. The fifth to tenth order modes are the

higher-order, irregular aberrations. Local irregularities in the wavefront within the pupil are represented by these higher-order Zernike modes.

The weighted Zernike polynomials are added together at Step 5 to obtain the reconstructed wave aberration. The wave aberration is evaluated at the locations of the actuators of the deformable mirror 118 to produce a correction signal at Step 6, which is then sent by the computer 150 to the wavefront compensation device which, as shown in Fig. 1, is preferably a deformable mirror 118. The feedback loop continues to receive the reconstructed wave aberration results, feeding back an appropriate correction signal until the RMS error in the reconstructed wave aberration signal reaches an asymptotic value. At that point, the deformable mirror 118 has been deformed such that, when the eye looks through it, it will compensate for all of the detected aberrations of the eye 100.

As is known to those of ordinary skill in the art, spectacles and contact lenses are available to correct vision, but they only correct defocus and sometimes astigmatism in the eye. Even normal eyes have other aberrations that are not corrected by conventional spectacles or contact lenses. The wavefront sensor 154 of the present invention is capable of automatically measuring those aberrations. Thus, using the adaptive optics system of Fig. 1 allows correction of monochromatic aberrations of the human eye beyond defocus and astigmatism. Accordingly, the adaptive optics system of Fig. 1 provides unprecedented optical quality in human eyes, both for improved vision and for providing sharper pictures of the retina.

Figs. 3a-3d are graphs of data from the wavefront sensor showing that the adaptive optics system of the present invention successfully corrects the aberrations of the eye. Figs. 3a and 3b show, for one subject, the wavefront error versus pupil position without adaptive

compensation and with adaptive compensation, respectively, using the present invention. In a test in which four subjects were measured, adaptive compensation reduced the peak to valley wavefront error across a 6 mm pupil by a factor of 4, on average.

Figs. 3c and 3d show the point spread function (PSF), computed from the wave aberration, without adaptive compensation and with adaptive compensation, respectively. Adaptive compensation increased the Strehl ratio, which is proportional to the peak intensity of the PSF, from 0.09 to 0.47. The average increase for all four eyes measured was nearly 4 fold, from 0.06 to 0.23. After compensation, the PSF for the subject shown has a full-width at half height (FWHH) of 2.0 microns, close to the value of 1.9 microns expected from diffraction alone. The present invention provides what is believed to be the best optical quality ever achieved in the human eye.

Fig. 4 is a graph of the RMS wavefront error, averaged across 4 eyes, associated with each Zernike order of the wave aberration. The RMS wavefront error is reduced by adaptive compensation for Zernike orders up to fifth order. Spectacles, contact lenses, and one previous attempt to use a deformable mirror to correct the eye's aberrations have only corrected second order aberrations (defocus and astigmatism).

Once the correction has been achieved, the apparatus of the present invention can be used to provide the subject with supernormal retinal image quality, in which case the subject views visual imagery through the deformable mirror 118. A six-fold improvement in vision, as measured with contrast sensitivity for fine gratings, for individuals looking through the present apparatus has been achieved. Individuals can see very high frequency gratings through the adaptive optics system that are above the resolution limit in normal viewing without adaptive optics.

Alternatively, once the correction has been achieved, the apparatus of the present invention shown in Fig. 1 can also be used to provide high resolution images of the retina 101. A krypton flash lamp 138 is imaged onto the eye's pupil by the lenses 134, 132, 130, and 120 after passing through the beamsplitter 124. The lamp 138 delivers a 4 msec flash, thereby illuminating a retinal disk on the retina 101 that is 1 degree in diameter. A narrow band interference filter 136 shapes the spectral output of the lamp 138.

The image of the retina 101 passes through the beamsplitter 124 and the lenses 122 and 120, is reflected by the deformable mirror 118, which has already been shaped to compensate for the eye's aberrations, and passes through the lens 116. The light is then reflected by the mirror 114 onto the lens 140 and through an aperture 141, where the reflected image is focused by the lens 142 onto the CCD device 145. The electrical signals corresponding to the light captured by the CCD camera 145 may be acquired in a manner similar to the light acquisition system which acquires the data from the CCD camera 146 and then be stored for later use in the computer 150. The images may then be shown on a monitor (not shown) connected to the computer 150 and/or sent to a suitable printing device (not shown) connected to the computer 150.

Using the apparatus of the present invention, single cells in the living human retina have been routinely resolved for the first time. The adaptive optic system of the present invention provides a non-invasive technique with which to study the normal and pathological living retina at a microscopic spatial scale. The theoretical limit of the transverse resolution of fundus imaging is proportional to the diameter of the dilated pupil. If adaptive compensation were complete for an 8 mm pupil, for example, the transverse resolution would

be increased 3.2 times over that for a 2.5 mm pupil, a typical pupil diameter used by currently available fundus cameras.

The axial resolution, which is critical in optical in-depth sectioning of the retina, grows as the square of the pupil diameter. Therefore, complete adaptive compensation across an 8 mm pupil could theoretically increase the axial resolution of a confocal ophthalmoscope by a factor of 10 over an instrument with a 2.5 mm exit pupil. The full-width at half-height (FWHH) of the PSF in depth is 27 microns, which approaches that of optical coherence tomography, but provides the additional advantage of high transverse resolution not possible with optical coherence tomography alone.

The success of the apparatus of the present invention establishes that it can also be used to improve vision by reshaping the eye's optics, as in laser refractive surgery, or by fabricating customized optical elements such as contact lenses or intraocular lenses. As shown, Figs. 1 and 2, the final correction signal can be provided to a contact lens or intraocular lens fabrication system or laser refractive surgical procedure 152 instead of the deformable mirror 118. As would be obvious to those of ordinary skill in the art, such contact lenses, intraocular lenses, or surgical correction would be customized for each eye for which compensation is to be provided. Additional aberrations besides defocus and astigmatism could be corrected with either an open or a closed loop system.

The invention described herein allows for the first complete description of the aberrations of the human eye combined with a demonstrated method to correct these aberrations. The applications of the present invention are four-fold. First, the invention can be utilized to provide a more accurate measure of the aberrations of the unaided eye. Second, the present invention can be used to evaluate the benefit of various techniques to correct the

aberrations of the eye, such as custom glasses, custom contact lenses, and surgical procedures. Third, the present invention can be used to improve vision in optical instruments such as telescopes and binoculars, custom glasses, custom contact lenses, and with surgical procedures such as photorefractive keratectomy (PRK). Fourth, the invention can be utilized to improve the axial and transverse resolution of images of the living retina. Current fundus cameras suffer from the problem that, in the prior art, the aberrations of the eye limit its resolution. a limit that has remained since the invention of the ophthalmoscope.

Although only a preferred embodiment is specifically illustrated and described herein, it will be appreciated that many modifications and variations of the present invention are possible in light of the above teachings and within the purview of the appended claims without departing from the spirit and intended scope of the invention.



**WHAT IS CLAIMED IS:**

1. A wavefront sensor for determining the wave aberrations of the living eye, said wavefront sensor receiving a reflected point source image of the retina of said eye, comprising:

a plurality of lenslets which form a lenslet array for receiving said reflected point source image of said retina and for creating an aerial image of the retinal point source; said lenslet array being configured such that it is capable of providing resolution for at least fifth order aberrations;

a camera located adjacent to said lenslet array for viewing said aerial image of the retinal point source formed on each of said plurality of lenslets of said lenslet array; and

a digital data processor connected to receive video output signals from said camera and for converting said video output signals to digital signals representative of said retinal point source aerial images, said digital data processor further calculating the wave aberrations of said eye so as to include at least fifth order modes, using said representative digital signals.

2. The wavefront sensor of claim 1, further including a polarizer through which said reflected point source image of said retina passes prior to being received by said plurality of lenslets.

3. The wavefront sensor of claim 1, further including a compensating optical device connected to said digital data processor, such that, under control of said digital data

processor, said compensating optical device is adjusted for providing wavefront compensation for said wave aberrations of said eye.

4. The wavefront sensor of claim 3, further including a polarizer filter through which said reflected point source image of said retina passes prior to being received by said plurality of lenslets.

5. The wavefront sensor of claim 1, wherein said lenslet array is capable of providing resolution of up to at least tenth order wave aberrations.

6. The wavefront sensor of claim 1, wherein said lenslet array is a Hartmann-Shack wavefront sensor having up to 217 lenslets.

7. The wavefront sensor of claim 1, further including at least one of a contact and intraocular lens fabrication system connected to receive the calculated wave aberrations from said digital data processor for fabricating at least one contact or intraocular lens to provide wavefront compensation for said wave aberrations of said living eye.

8. The wavefront sensor of claim 1, further including surgical equipment connected to receive the calculated wave aberrations from said digital data processor for use in performing surgery on said living eye to provide wavefront compensation for said wave aberrations of said living eye.

9. The apparatus of claim 3, wherein said compensating optical device is one of a deformable mirror, liquid crystal device, micro-machined mirror and bimorph mirror.

10. Apparatus for fabricating contact or intraocular lenses to correct for at least the third order wave aberrations of the living eye, comprising;

means for generating a reflected point source image of a retina of said living eye;

means for receiving said reflected point source image and for converting said point source image to corresponding digital signals;

a digital data processor for calculating wave aberrations of the eye so as to include at least third order modes, using said digital signals; and

at least one of a contact lens and intraocular fabrication system connected to receive the calculated wave aberrations from said digital data processor for fabricating at least one contact or intraocular lens to provide wavefront compensation for said at least third order wave aberrations of said living eye.

11. The apparatus of claim 10, wherein said means for generating comprises a plurality of lenslets which form a lenslet array for receiving said reflected point source image of said retina, said lenslet array being configured such that it is capable of providing resolution for at least third order aberrations.

12. The apparatus of claim 10, further including a polarizing filter through which said reflected point source image of said retina passes prior to being received by said means for receiving said reflected point source.

13. A method for fabricating contact lenses to correct at least the third order wave aberrations of the living eye, comprising the steps of:

generating a reflected point source image of the retina of said living eye;

receiving said reflected point source image and converting said point source image to corresponding digital signals;

calculating wave aberrations of said eye so as to include at least third order modes, using said digital signals; and

receiving the calculated wave aberrations for fabricating at least one contact lens to provide wavefront compensation for said at least third order wave aberrations of said living eye.

14. The method of claim 13, further including the step of polarizing said reflected point source image to remove stray light reflected from the cornea of said living eye prior to receiving said reflected point source image.

15. A method for determining the wave aberrations of the living eye using a wavefront sensor which receives a reflected point source image of the retina of said eye, comprising the steps of:

providing a plurality of lenslets which form a lenslet array for receiving said

reflected point source image of said retina, said lenslet array being configured such that it is capable of providing resolution for at least fifth order aberrations;

receiving an aerial image of the retinal point source formed on each of said plurality of lenslets of said lenslet array and generating signals representative thereof; and

converting said signals to digital signals representative of said retinal point source aerial images, and calculating wave aberrations of said eye so as to include at least fifth order modes, using said representative digital signals.

16. The method of claim 15, further including the step of polarizing said reflected point source image to remove stray light reflected from the cornea of said living eye prior to said plurality of lenslets receiving said reflected point source image.

17. A method for determining the wave aberrations of the living eye using a wavefront sensor which receives a reflected point source image of the retina of said eye, comprising the steps of:

providing a plurality of lenslets which form a lenslet array for receiving said reflected point source image of said retina, said lenslet array being configured such that it is capable of providing resolution for at least third order aberrations;

receiving an aerial image of the retinal point source formed on each of said plurality of lenslets of said lenslet array and generating signals representative thereof;

converting said signals to digital signals representative of said retinal point source aerial images, and calculating wave aberrations of said eye so as to include at least third order modes, using said representative digital signals; and

adjusting a compensating optical device to provide wavefront compensation for said wave aberrations of said living eye using said calculated at least third order wave aberrations of said living eye.

18. Apparatus for generating high resolution images of the retina of the living eye. comprising:

means for generating a reflected point source image of the retina of said living eye;

means for receiving said reflected point source image and for converting said point source image to corresponding digital signals;

a digital data processor for calculating said at least third order wave aberrations using said digital signals;

means for illuminating a retinal disk on said living eye for producing a retinal disk image;

a compensating optical device for reflecting said retinal disk image, said compensating optical device being adjusted using said calculated wave aberrations such that wavefront compensation for said wave aberrations is provided for said living eye; and

means for providing an image of said reflected retinal disk image after its reflection by said compensating optical device.

19. The apparatus of claim 18, wherein said compensating optical device is one of a deformable mirror, liquid crystal device, micro-machined mirror and bimorph mirror.

20. The apparatus of claim 18, further including a polarizer through which said reflected point source image of said retina passes prior to being received by said plurality of lenslets.

21. The apparatus of claim 18, wherein said means for generating comprises a plurality of lenslets which form a lenslet array for receiving said reflected point source image of said retina, said lenslet array being configured such that it is capable of providing resolution for at least third order aberrations.

22. An optical instrument which incorporates a wavefront sensor for determining the wave aberrations of the living eye, said wavefront sensor receiving a reflected point source image of the retina of said eye, comprising:

a plurality of lenslets which form a lenslet array for receiving said reflected point source image of said retina and for creating an aerial image of the retinal point source; said lenslet array being configured such that it is capable of providing resolution for at least fifth order aberrations;

a camera located adjacent to said lenslet array for viewing said aerial image of the retinal point source formed on each of said plurality of lenslets of said lenslet array; and

a digital data processor connected to receive video output signals from said camera and for converting said video output signals to digital signals representative of said retinal point source aerial images, said digital data processor further calculating the wave aberrations of said eye so as to include at least third order modes, using said representative

digital signals, such that improved vision results when said living eye utilizes said optical instrument.

23. A method for generating high resolution images of the retina of the living eye, comprising the steps of:

generating a reflected point source image of the retina of said living eye;  
receiving said reflected point source image and for converting said point source image to corresponding digital signals;  
calculating said at least third order wave aberrations using said digital signals;  
illuminating a retinal disk on said living eye for producing a retinal disk image; and  
reflecting said retinal disk image on a compensating optical device, said compensating optical device being adjusted such that wavefront compensation for said wave aberrations is provided for said living eye.

24. The method of claim 23, further including the step of polarizing said reflected point source image to remove stray light reflected from the cornea of said living eye prior to receiving said reflected point source image.

25. Apparatus for generating high resolution images of the retina of the living eye, comprising:

means for determining at least a third order wave aberration of said living eye  
and for generating a correction signal representative thereof;

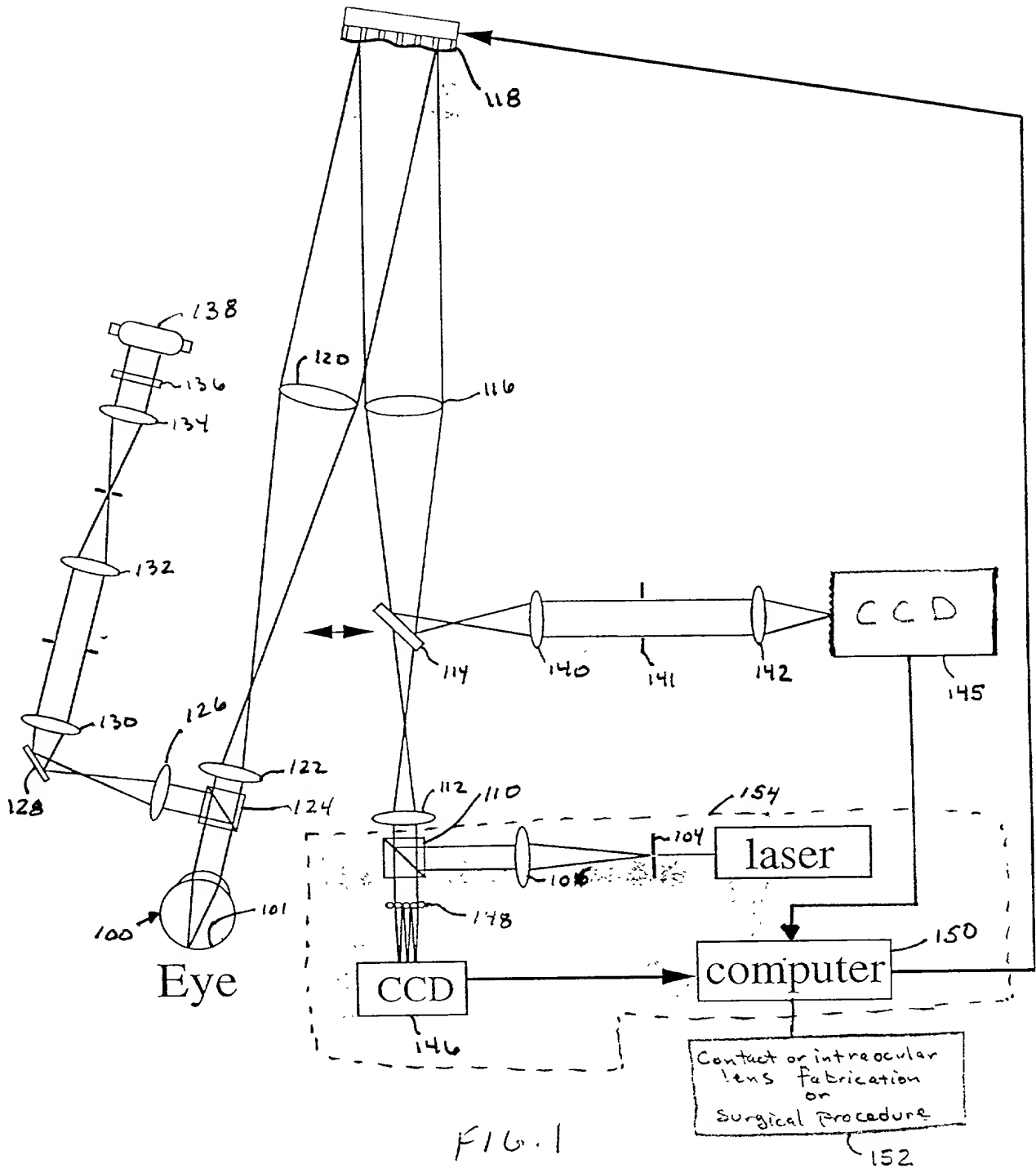


a compensating optical device for reflecting an image of said retina and for receiving said correction signal, said compensating optical device being adjusted using said correction signal such that wavefront compensation for said at least third order wave aberration is provided for said living eye; and

means for providing said high resolution image of said retina after its reflection by said compensating optical device.

## ABSTRACT OF THE DISCLOSURE

A method of and apparatus for improving vision and the resolution of retinal images is described in which a point source produced on the retina of a living eye by a laser beam is reflected from the retina and received at a lenslet array of a Hartmann-Shack wavefront sensor such that each of the lenslets in the lenslet array forms an aerial image of the retinal point source on a CCD camera located adjacent to the lenslet array. The output signal from the CCD camera is acquired by a computer which processes the signal and produces a correction signal which may be used to control a compensating optical or wavefront compensation device such as a deformable mirror. It may also be used to fabricate a contact lens or intraocular lens, or to guide a surgical procedure to correct the aberrations of the eye. Any of these methods could correct aberrations beyond defocus and astigmatism, allowing improved vision and improved imaging of the inside of the eye.



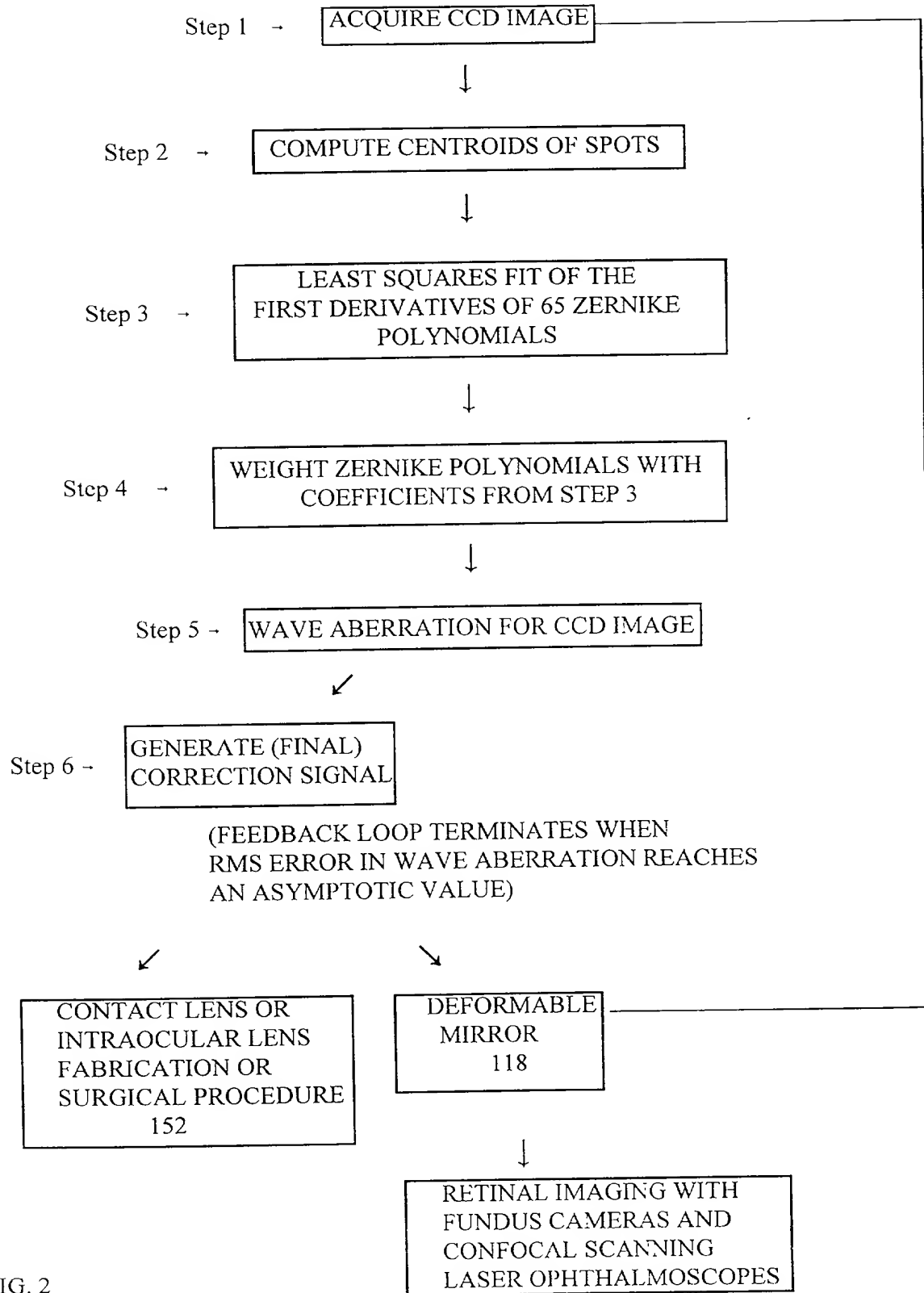
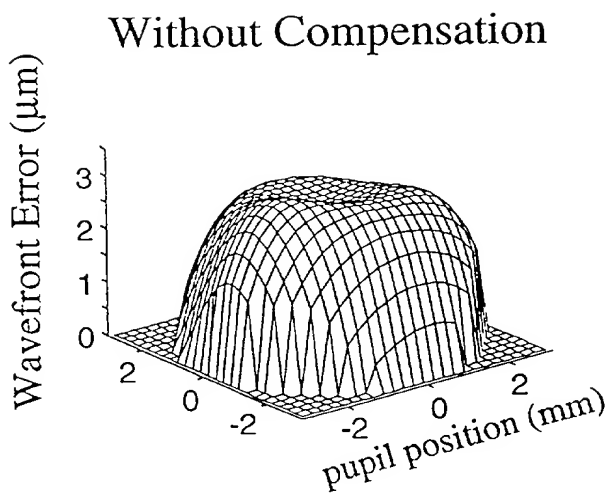


FIG. 2

FIG 3a

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With Compensation

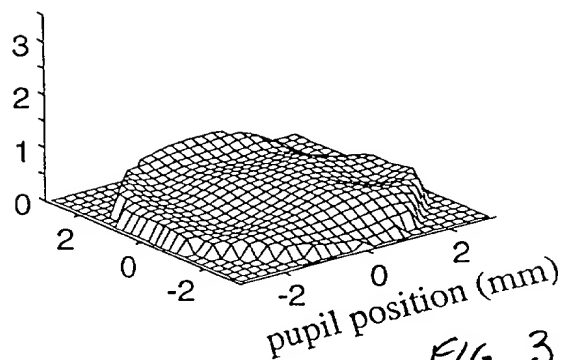


FIG. 3b

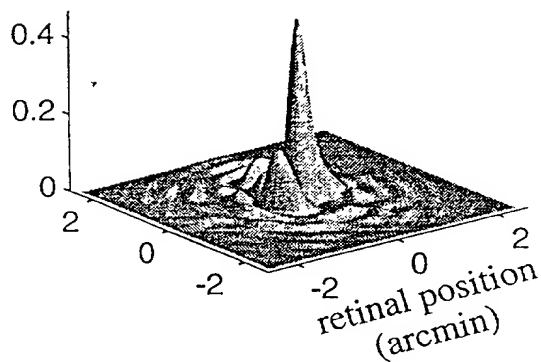
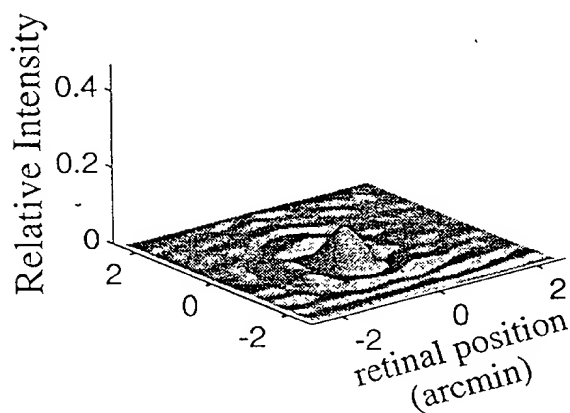


FIG. 3d

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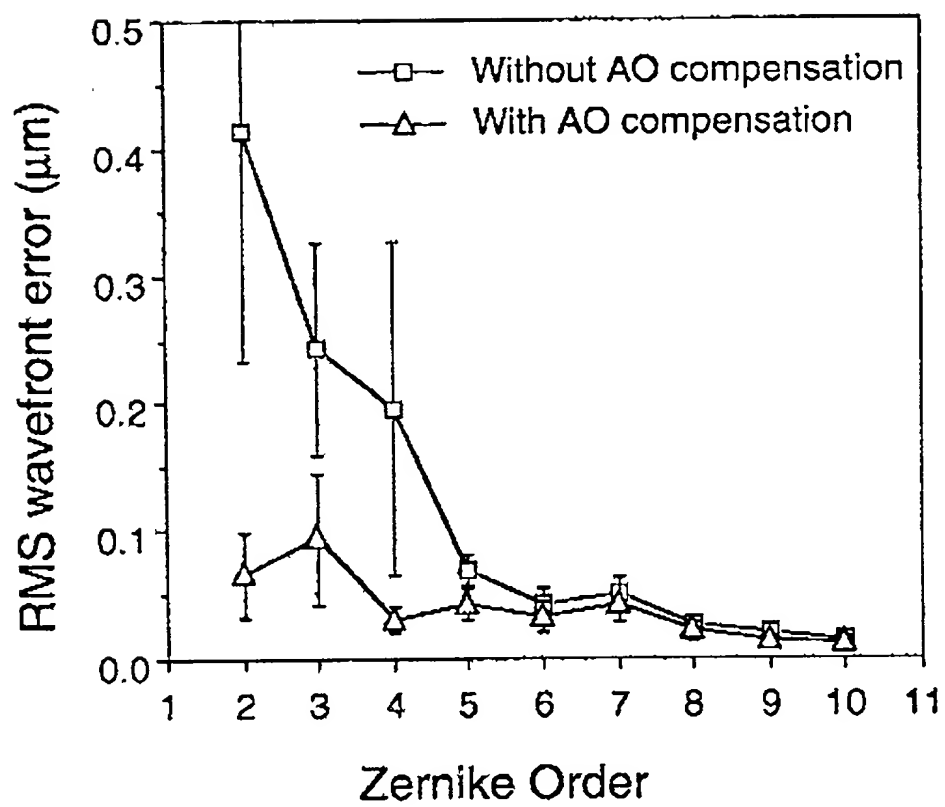


FIG. 4

**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD AND APPARATUS FOR IMPROVING VISION AND THE RESOLUTION OF RETINAL IMAGES**

the specification of which is attached hereto unless the following box is checked:

☐ was filed on \_\_\_\_\_ as United States Application Number or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 USC §119			
APPLICATION NUMBER	COUNTRY	(DAY/MONTH/YEAR FILED)	PRIORITY NOT CLAIMED
			<input type="checkbox"/> NO
			<input type="checkbox"/> NO

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

PROVISIONAL APPLICATION(S) UNDER 35 U.S.C. §119(e)	
APPLICATION NUMBER	FILING DATE

I hereby claim the benefit under 35 U.S.C. §120 of any United States application, or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

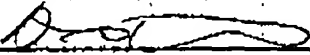

**PRIOR U.S./PCT INTERNATIONAL APPLICATION(S) DESIGNATED FOR BENEFIT UNDER 37 U.S.C. §120**

APPLICATION NUMBER	FILING DATE	STATUS -- PATENTED, PENDING, ABANDONED

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith: Herbert Cohen, Reg. No. 25,109; Victor M. Wigman, Reg. No. 25,201; George C. Myers, Jr., 27,040; Saul Leitner, Reg. No. 22,283; Michael C. Greenbaum, Reg. No. 28,419; Michael D. White, Reg. No. 32,795; Joseph G. Seeber, Reg. No. 27,719; and Karl O. Neidert, Reg. No. 39,313.

Address all correspondence to:	WIGMAN, COHEN, LEITNER & MYERS, P.C. The Farragut Building, 10th Floor 900 17th Street, N.W., Suite 1000 Washington, D.C. 20006	Address all telephone calls to:  (202) 463-7700 (202) 463-6915 (Fax)
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name): David R. Williams	
Inventor's signature: 	Date: 12/20/96
Residence: Rochester, New York	Citizenship: U.S.A.
Post Office Address: Center for Visual Science, University of Rochester, Rochester, New York 14627	
Full name of second joint inventor (given name, family name): Junzhong Liang	
Inventor's signature: 	Date: 12/20/96
Residence: Rochester, New York	Citizenship: P.R.C.
Post Office Address: 360 Quinby Road, Whipple Park, Rochester, NY 14623	
Full name of third joint inventor (given name, family name):	
Inventor's signature:	Date:
Residence:	Citizenship:
Post Office Address:	
Full name of fourth joint inventor (given name, family name):	
Inventor's signature:	Date:
Residence:	Citizenship:
Post Office Address:	

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